



#3  
S.I.  
03 09-04

PATENT  
Attorney Docket No. 041465-5117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nobuo HAINO et al.

Application No.: 09/929,109

Filed: August 15, 2001

For: INFORMATION RECORDING APPARATUS,  
INFORMATION RECORDING METHOD AND  
INFORMATION RECORDING MEDIUM IN  
WHICH RECORDING CONTROLLING  
PROGRAM IS RECORDED

) Confirmation No.: 8427

) Group Art Unit: 2652

) Examiner: Unassigned

**RECEIVED**

**MAR 08 2004**

**TC 2600**

**RECEIVED**

**MAR 05 2004**

**Technology Center 2600**

Commissioner for Patents  
U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application, and no fees are believed to be necessary.

CN 1197573 included in this Information Disclosure Statement was cited in an Office Action issued by the Patent Office of the People's Republic of China on January 2, 2004. A copy of the Office Action and the English-language translation of the Office Action are enclosed

for the Examiner's consideration. The relevance of CN 1197573 can be understood from the corresponding EP 0 847 195, which is included in this Information Disclosure Statement, as well as from the enclosed Office Action.


A copy of the listed documents are attached. Applicants respectfully request that the Examiner consider the enclosed Office Action and listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

This submission does not represent that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,  
**MORGAN, LEWIS & BOCKIUS LLP**

By:

  
Victoria D. Hao  
Registration No. 47,630

Dated: March 4, 2004  
**CUSTOMER NO. 009629**  
**MORGAN, LEWIS & BOCKIUS LLP**  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
202.739.3000

# INFORMATION DISCLOSURE CITATION

Attorney Docket No.  
041465-5117

Application No.:  
09/929,109

(Use several sheets if necessary)

Applicants: Nobuo HAINO et al.

PAGE 1 of 1

Filing Date: August 15, 2001

Group Art Unit: 2652

PTO Form 1449

## U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

RECEIVED

MAR 05 2004

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation YES NO	
CN 1197573	10/28/98	CN				X
EP 0 847 195 A1	06/10/98	EP				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.